

Serial No. 09/820513

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Patent Application

Inventor(s): **Alex Ruan**
Christopher L Rutledge

Case: **1-1**

Serial No.: **09/820513**

Filing Date: **March 29, 2001**

Examiner: Group Art Unit:

Title: **Dynamic Passive Optical Network (PON) Using A Distributed
Optical Cross-Connect Architecture And Dense Wavelength
Division Multiplexing**

**ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D. C. 20231**

SIR:

Response to Notice to File Missing Parts of Non-Provisional Application

Enclosed are the following papers relating to the above-identified application:

1. Petition For Filing by Assignee Under 37CFR1.47(b);
2. Declaration and Verified Statement;
3. Declaration and Power of Attorney;
4. Petition for Extension of Time; and
5. Five (5) Sheets of Formal Drawings.

Please charge Lucent Technologies **Deposit Account No. 12-2325** the amount of **\$130.00** to cover the filing fee surcharge. Duplicate copies of this letter are enclosed.


RECEIVED

AUG 20 2001

OFFICE OF PETITIONS

A copy of the Notice to File Missing Parts of Non-Provisional Application is also enclosed.

Respectfully,

By 
Matthew J. Hodulik, Attorney
Reg. No. 36164
732-949-9742

Date: 8/9/01

Certificate of Mailing

I hereby certify that this correspondence (and any paper referred to as being transmitted therewith) is being deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on the date indicated below.

AUG 9, 2001


SHARON LOBOSCO



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/820,513	03/29/2001	Alex Ruan	1-1

CONFIRMATION NO. 5445

FORMALITIES LETTER

Lucent Technologies Inc.
Docket Administrator (Room 3C-512)
600 Mountain Avenue
P.O. Box 636
Murray Hill, NJ 07974-0636

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AUG 20 2001

OFFICE OF PETITIONS

Date Mailed: 05/18/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - Drawings must be in dark ink (not pencil), except where color drawings or photographs are permitted.

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A copy of this notice MUST be returned with the reply.

Alem A. Berber
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE